HOW TO FILE AN EQUAL OPPORTUNITY COMPLAINT

GENERAL PROVISIONS

(Workforce Innovation and Opportunity Act Title I—funded program or activity)

- 1. The complainant has the burden of establishing the validity and merits of his or her complaint.
- 2. The complainant may discontinue his or her complaint by submitting a "Withdrawal/Release of Equal Opportunity Complaint" (Appendix C).
- 3. Recipients of Workforce Innovation and Opportunity Act (WIOA) Title I financial assistance, including the entities enumerated in No. 4 following this, must keep a complaint log (Appendix D) for monitors to review for oversight purposes.
- 4. All Recipients and Service Providers, State-level agencies that administer WIOA Title 1 funds, State Employment Security Agencies, State & Local Workforce Investment Boards, Grant Recipients, One-Stop Operators, Training Providers, On-the-Job Training Employers, Employers, and entities participating in the Job Corps program are required to meet language accessibility laws according to 29 C.F.R. § 38.35.

For persons with limited English proficiency (LEP) who need assistance to understand these procedures or to complete the complaint forms, an interpreter will be provided at no cost. If you need language assistance, please contact us by telephone at (808) 586-8866 or in person immediately.

ILOKANO	TAGALOG	CHINESE		CHINESE	SPANISH		JAPANESE	CHUUKESE	
		SIMPLIF 简体中		TRADITIONAL 繁体中文	Español		日本語	Fosun Chuuk	
MARSHALLESE			KOREAN				VIETNAM	IESE	
Kajin Majol			한국어			Tiếng Việt			

1 (808) 586-8866 **1**

WIOA COMPLAINT PROCEDURES

These procedures are divided into whether they are complaints about discrimination prohibited by –

✓ Federal laws prohibiting discrimination against anyone (including applicants for benefits and services, recipients, On-the-Job Training employers, participants, and other beneficiaries); or ✓ Federal and/or State statutes against employment discrimination only.

Section A covers complaints about discrimination prohibited by the WIOA, which includes discrimination against applicants, registrants or participants of benefits and services by Recipients including State-level agencies that administer WIOA Title 1 funds, State Employment Security Agencies, State & Local Workforce Investment Boards, Grant Recipients, One-Stop Operators, Training Providers, On-the-Job Training Employers, Employers, and entities participating in the Job Corps program. **Section B** covers complaints about discrimination prohibited against employment discrimination only.

- A. Complaints Covered by Federal Laws Prohibiting Discrimination Against Anyone.
 - 1. It is against the law for recipients of WIOA Title I financial assistance, including the State of Hawaii Department of Labor and Industrial Relations (DLIR) and the State and Local Workforce Investment Boards or their Service Providers, to discriminate:
 - ❖ Against any individual in the United States on the basis of
 - > Race
 - > Color
 - > Religion
 - > Sex (including pregnancy, childbirth or related medical conditions, gender identity, and transgender status)
 - > National Origin (including limited English proficiency)
 - > Age
 - Disability
 - > Political affiliation or belief
 - > Retaliation, and
 - Against any beneficiary of programs financially assisted by the U.S. Department of Labor based on the beneficiary's citizenship/status as a lawfully admitted immigrant authorized to work in the United States, or his or her participation in any WIOA Title I— financially-assisted program or activity.
 - 2. The recipient of WIOA Title I financial assistance must not discriminate directly or through contractual, licensing, or other arrangements, on any basis described above and must not:

- A. Deny an individual any aid, benefits, services, or training provided under a WIOA Title I—funded program or activity;
- B. Provide to an individual any aid, benefits, services, or training that is different, or is provided in a different manner, from that provided to others under a WIOA Title I—funded program or activity;
- C. Subject an individual to segregation or separate treatment in any matter related to his or her receipt of any aid, benefits, services, or training under a WIOA Title I—funded program or activity;
- D. Restrict an individual in any way in the enjoyment of any advantage or privilege enjoyed by others receiving any aid, benefits, services, or training under a WIOA Title I—funded program or activity;
- E. Treat an individual differently from others in determining whether he or she satisfies any admission, enrollment, eligibility, membership, or other requirement or condition for any aid, benefits, services, or training provided under a WIOA Title I—funded program or activity;
- F. Deny or limit an individual with respect to any opportunity to participate in a WIOA Title I—funded program or activity, or afford him or her an opportunity to do so that is different from the opportunity afforded others under a WIOA Title I—funded program or activity;
- G. Deny an individual the opportunity to participate as a member of a planning or advisory body that is an integral part of the WIOA Title I—funded program or activity; or
- H. Otherwise limit on a prohibited ground an individual in enjoyment of any right, privilege, advantage, or opportunity enjoyed by others receiving any WIOA Title I—financially assisted aid, benefits, services, or training.

3. Retaliation

A recipient of WIOA Title I financial assistance must not discharge, intimidate, retaliate, threaten, coerce or discriminate against any individual because the individual has:

- A. Filed a complaint alleging a violation of Section 188 of WIOA;
- B. Opposed a practice prohibited by the nondiscrimination and equal opportunity provisions of WIOA;
- C. Furnished information to, assisted, or participated in any manner in an investigation, review, hearing, or any other activity related to any of the following:
 - I. Administration of the nondiscrimination and equal opportunity provisions of WIOA;
 - II. Exercise of authority under those provisions; or
 - III. Exercise of privilege secured by those provisions; or

D. Otherwise exercised any rights and privileges under the nondiscrimination and equal opportunity provisions of WIOA.

4. Who May File

Any individual who believes that he or she or any specific class of individuals has been or is being subjected to discrimination prohibited by federal law may file a written complaint, either by themselves or through a representative.

5. What to Do if You Believe You Have Experienced Discrimination

If you are a WIOA applicant, participant, program staff, employee or other party affected by the local Workforce Development system, and think that you have been subjected to discrimination under a U.S. Department of Labor (U.S.DOL) WIOA Title I—financially assisted program or activity, you may file your complaint within 180 days from the date of the alleged violation using this procedure and forms.

You may also file with the Hawaii Civil Rights Commission (HCRC) within 180 days, or the U. S. Equal Employment Opportunity Commission (EEOC) within 300 days of the alleged discriminatory act. A WIOA discrimination complaint does not have to be filed before the filing of a complaint with the HCRC or EEOC, and the filing of an internal DLIR or union complaint does not extend the deadlines for filing a complaint with the HCRC or EEOC.

Where to File

6. WIOA applicants, participants, program staff, and employees affected by their respective Workforce Development system in the following counties may file with the persons in their county listed below.

City and County of Honolulu:

Denise L. Tsukayama, CAAP, EO Officer/ADA Coordinator 650 South King Street, 10th Floor Honolulu, HI 96813 Phone: (808) 768-8505

TDD/ TTY: (808) 768-3489 Fax: (808) 768-8490

E-mail: dtsukayama@honolulu.gov

County of Maui:

Ralph Thomas EEO Specialist 200 S. High Street, 9th Floor

Wailuku, Maui HI 96793 Phone: (808) 463-3168

TDD/TTY: Dial 711 then ask for (808)

270-6292

E-mail: Ralph.Thomas@co.maui.hi.us

County of Kauai:

Linda Nulan-Ames EEO/ADA Coordinator 4444 Rice Street Lihue, HI 96766 Phone: (808) 241–4924 TDD/TTY: (808) 241-4924 F a x: (808) 241 - 6877

E-mail: Inuland@kauai.gov

County of Hawaii:

Teri Spinola-Campbell Equal Opportunity Officer/ADA Coordinator 101 Pauahi St, Suite 2 Hilo, HI 96720-4224 Phone: (808) 961-8361

Phone: (808) 961-8361 TDD/TTY: (808) 961-8619 Fax: (808) 961-8617

E-mail: teri.spinola-campbell@hawaiicounty.gov

- 7. You may file a complaint by completing and submitting the U.S. DOL's Civil Rights Center's (CRC's) Complaint Information and Privacy Act Consent Forms, which may be obtained either from the recipient's EO Officer or from CRC. The form is available electronically on the CRC's website (https://www.dol.gov/oasam/programs/crc/) and by making a request to CRC at the address listed below.
- 8. If you file your complaint with the State of Hawaii Equal Opportunity (EO) Officer within 180 days from the date of the alleged discriminatory act under this WIOA complaint procedure, you must wait until either DLIR issues a written Notice of Final Action or until 90 days have passed from the filing of the complaint (whichever is sooner) before filing with the CRC.
- 9. If DLIR does not give you a written Notice of Final Action within 90 days of the day on which you filed a complaint, you do not have to wait for DLIR to issue that Notice before filing a complaint with CRC. However, you must file your CRC complaint within 30 days of the 90-day deadline for the Notice, i.e., 120 days after the day on which you filed your complaint with DLIR.
- 10. If DLIR does give you a written Notice of Final Action on your complaint, but you are dissatisfied with the decision or resolution, you may file a complaint with the CRC. You must file your CRC complaint within 30 days of the date on which you received the Notice of Final Action.
- 11. To file with either DLIR or CRC:

DLIR:

Aadel Khandaker, Civil Rights & Equal Opportunity Officer State of Hawaii Department of Labor and Industrial Relations Director's Office 830 Punchbowl St., Rm 321 Honolulu, Hawaii 96813 Phone: (808) 586-8148

TDD/TTY: Dial 711 then ask for (808)

586-8866

Email: aadel.khandaker@hawaii.gov

OR CRC:

The Director Civil Rights Center U.S. Department of Labor 200 Constitution Avenue, N.W. Room N-4123 Washington, D.C. 20210

12. Current State or County employees belonging to a union may also file a complaint or grievance with their union in their respective counties:

HGEA Headquarters & Oahu Division Office 888 Mililani St., Suite 601 Honolulu, HI 96813-2991

Phone: (808) 543-0000 or 536-2351

Fax: (808) 528-4059

E-mail: oahudiv@hgea.org

Hawaii Division Office

495 Manono St. Hilo, HI 96720-4422 **Phone**: (808) 935-6841 **Fax**: (808) 961-2437

E-mail: hawaiidiv@hgea.org

Maui Division Office

2145 Kaohu St., Suite 206 Wailuku, HI 96793-2257 **Phone**: (808) 244-5508 **Fax**: (808) 244-3621 **E-mail**: mauidiv@hgea.org

Kauai Division Office

3213 Akahi St.

Lihue, HI 96766-1108 **Phone**: (808) 245-6751 **Fax**: (808) 245-9632 **E-mail**: kauaidiv@hgea.org

13. Aliens/Intending citizens may file a complaint with:

U.S. Department of Justice Office of Special Counsel 1730 M St. NW #218 P. O. Box 27728 Washington, D.C. 20038-2217 Phone: (202) 254-3600 (Voice)

OR (subject to No. 6-10 above)

Department of Labor and Industrial Relations
Aadel Khandaker, Civil Rights/Equal Opportunity Officer
Director's Office
830 Punchbowl Street Room 321

830 Punchbowl Street, Room 321

Honolulu, HI 96813

Phone: (808) 586-8148 (Voice)

TDD/TTY: Dial 711 then ask for (808) 586-8866

Email: aadel.khandaker@hawaii.gov

- 14. Current DLIR employees may also file a complaint pursuant to DLIR's Addendum to DHRD's Discrimination/Harassment-Free Workplace Policy.
- 15. Content of Complaints

Each complaint shall be filed in writing, either electronically or in hard copy, on the form in Appendix B, or on the Local Area's form if applicable, or the CRC form, and shall:

- A. Be signed in writing or electronically by the complainant or an authorized representative of the complainant.
- B. Contain the complainant's name and address, and, if available, email address (or other means of contacting the complainant).
- C. The identity of the respondent (the individual or entity that the complainant alleges is responsible for the discrimination).
- D. A description of the complainant's allegations. This description must include enough detail to determine whether:
 - I. The appropriate agency has jurisdiction over the complaint;
 - II. The complaint was timely filed; and
- III. The complaint has apparent merit; in other words, whether the complainant's allegations, if true, would violate any of the nondiscrimination and equal opportunity provisions of WIOA.

16. Right to Representation

Any party to a complaint has the right to be represented by an attorney or individual of their choice.

17. Complaint Processing:

- A. The DLIR Equal Opportunity Officer (EO Officer) shall hold a meeting with the complainant within seven working days of receipt of the complaint to hear the complaint and assist the complainant in completing the DLIR Form EO-1 (see Appendix B), if requested. At this meeting, the complainant shall be informed of the right to representation by an attorney or another individual of his or her choice, and of the Alternate Dispute Resolution (ADR) process.
- B. The complainant shall be advised of the options available for redress, given a copy of the notice of rights contained in 29 C.F.R. § 38.30, and given a Notice to Individuals Filing Discrimination Complaints (see Appendix A).
- C. Receipt of the complaint will be acknowledged in writing within five working days of the meeting with the complainant. This written acknowledgment shall contain:
 - I. A list of the issues raised in the complaint, and

- II. For each such issue, a statement whether the recipient will accept or reject the issue for investigation and the reasons for each rejection.
- D. If a recipient determines that it does not have jurisdiction over a complaint, it must notify the complainant, in writing, immediately. This Notice of Lack of Jurisdiction must include:
 - I. A statement of the reasons for that determination; and
 - II. Notice that the complainant has a right to file a complaint with CRC within 30 days of the date on which the complainant receives the Notice of Lack of Jurisdiction.
- E. Where the complaint does not appear valid (no prima facie case), the complainant will be notified of the reasons that the complaint will not be pursued through the complaint process and, if not satisfied, advised of other potential avenues of redress.
- F. After issuing the written acknowledgment, the EO Officer shall conduct an investigation on the issues accepted for investigation by collecting all pertinent data, interviewing parties involved, and documenting the investigation.
- G. The methods available to resolve the complaint must include ADR as described in No. 18 below.
- H. The EO Officer shall prepare a report to the Director that describes the case, results of the investigation, and conclusion, and recommends a course of action or response to the complaint.
- I. The DLIR Director shall issue to the complainant a written decision called a Notice of Final Action within 90 days of the date on which the complaint was filed. This Notice shall contain the following information:
 - For each issue accepted for investigation, a statement of the Director's decision on the issue and an explanation of the reasons underlying the decision or a description of the way the parties resolved the issue; and
 - II. Advise the complainant that the complainant has a right to file a complaint with the CRC within 30 days of the date on which the Notice of Final Action is issued if he or she is dissatisfied with the DLIR Director's final action on the complaint.
- J. Complainants who file with DLIR/recipient must wait until a decision is issued or until 90 days have passed, whichever is sooner, before filing with the CRC. If the complainant has not been provided with a written decision within 90 days of the filing of the complaint, the

complainant need not wait for a decision to be issued, but may file a complaint with the CRC within 30 days of the expiration of the 90-day period.

18. Alternate Dispute Resolution (ADR) Processing

- A. Any complainant who files a complaint will be given the option of using ADR as a means of resolving the complaint. The choice to use ADR or the customary complaint process rests with the complainant.
- B. Complainants will be directed to the DLIR EO Officer who will evaluate the case, and refer the parties to an ADR provider if mutually agreed upon. The ADR provider shall schedule a meeting among all the parties.
- C. Upon completion of mediation process the ADR provider will issue a disposition of the case.
- D. A party to any agreement reached under ADR may file a complaint with the CRC Director in the event the agreement is breached. In such circumstances, the following rules will apply:
 - I. The non-breaching party may file a complaint with the CRC Director within 30 days of the date on which the non-breaching party learns of the alleged breach;
 - II. The CRC Director must evaluate the circumstances to determine whether the agreement has been breached. If he or she determines that the agreement has been breached, the complainant may file a complaint with CRC based upon complainant's original allegation(s), and the CRC will waive the time deadline for filing such a complaint.
- E. If the parties do not reach an agreement under ADR, the complainant may continue the process as outlined in No. 17 above or may file a complaint with the CRC.

B. Complaints Prohibited by Federal and/or State Laws against Employment Discrimination Only

 In addition to the prohibited discrimination described in section A, both federal and state laws prohibit discrimination by employers, unions, and employment agencies against employees and job applicants.

Individuals can seek relief and request an investigation through the methods listed below. In addition to filing a complaint with the HCRC, EEOC, or the appropriate union, an employee or job applicant who believes he or she has been subjected to unlawful discrimination can file a workforce complaint with DLIR (DLIR employees may also file an internal complaint). A workforce DLIR

complaint does not have to be filed before the filing of a complaint with the HCRC or EEOC, and the filing of an internal DLIR or union complaint does not extend the deadlines for filing a complaint with the HCRC or EEOC.

Federal fair employment law prohibits discrimination based on:

- > Race
- > Color
- Religion
- > Sex, including gender identity or expression
- > Sexual orientation
- > Pregnancy
- > National Origin
- > Age
- Disability
- Genetic Information
- > Retaliation
- > Political affiliation or belief (CRC processing only)
- > Veteran status1
- National guard absence¹
- Uniformed service¹
- > Citizenship²
- 1. Enforced by DOL's Veterans Employment and Training Service (VETS).
- 2. Enforced by DOJ's Office of Special Counsel for Immigration Related Unfair Employment Practices (OSC).

Hawaii state fair employment law prohibits discrimination based on:

- > Race
- > Sex, including gender identity or expression
- > Sexual orientation
- > Age
- Religion
- > Color
- Ancestry
- > Disability
- Marital status

- > Arrest and court record
- > Domestic or sexual violence victim status
- > National guard participation
- > Income assignment for child support obligations
- Breastfeeding
- > Credit history or credit report
- > Retaliation

Both federal and state laws prohibit retaliation against any individual for opposing prohibited discrimination, filing a complaint, or testifying or assisting in any proceeding regarding prohibited discrimination.

2. What to Do if You Believe You Have Experienced Discrimination

If you think you have been subjected to **employment** discrimination in one or more ways as described in section B.1, you may file a complaint using these procedures and forms or file a complaint with the Hawaii Civil Rights Commission (HCRC) or the U.S. Equal Employment Opportunity Commission (EEOC).

3. When to File

The deadline for filing a complaint with the HCRC is 180 days from the date of the alleged discriminatory act (or last act in a pattern of ongoing discrimination). The deadline for filing an EEOC complaint is 300 days from the date of the alleged discriminatory act.

4. Where to File and Seek More Information:

Hawaii Civil Rights Commission (HCRC) 830 Punchbowl Street, Room 411

Phone: (808) 586-8636 TDD/TTY: (808) 586-8692 Neighbor Islands, call toll free: Kaua'i: 274-3141, Ext. 6-8636# Maui: 984-2400, Ext. 6-8636# Hawai'i: 974-4000, Ext. 6-8636#

Honolulu, Hawai'i 96813

Lana'i & Moloka'i: 1-800-468-4644, Ext. 6-8636#

Email: DLIR.HCRC.INFOR@hawaii.gov Website: http://labor.hawaii.gov/hcrc

Or

U.S. Equal Employment Opportunity Commission (EEOC) Honolulu Local Office 300 Ala Moana Boulevard, Room 7-127 Honolulu, Hawai'i 96850 Phone: (808) 541-3118 Toll-Free: 1-800-669-4000 Website: http://www.eeoc.gov

Individuals may also obtain information and assistance from the DLIR EO Officer:

Aadel Khandaker, Civil Rights & Equal Opportunity Officer State of Hawaii Department of Labor and Industrial Relations Director's Office 830 Punchbowl St., Rm. 321 Honolulu, Hawaii 96813

Phone: (808) 586-8148 Fax: (808) 586-9099

TDD/TTY: Dial 711 then ask for (808) 586-8866

Email: <u>aadel.khandaker@hawaii.gov</u>

- 5. Affected State or County employees belonging to a union may file a complaint with their union in their local areas as listed in item A.12 above.
- 6. DLIR employees may file a departmental discrimination complaint.

State of Hawaii Department of Labor and Industrial Relations

WORKFORCE NOTICE TO PERSONS WHO BELIEVE THEY HAVE BEEN DISCRIMINATED AGAINST (NON-EMPLOYMENT OR EMPLOYMENT) IN ANY WIOA TITLE I FUNDED PROGRAM OR ACTIVITY.

- Any person who believes that he or she or any specific class of individuals has been or is being subjected to discrimination prohibited by Section 188 of the Workforce Innovation and Opportunity Act (WIOA), or 29 C.F.R. Part 38, as amended; Titles VI and VII of the Civil Rights Act of 1964, as amended; Section 504 of the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1975, as amended; or Title IX of the Education Amendments of 1972, as amended; may file a written complaint by themselves or by a representative.
- An EO complaint Form, DLIR-WIOA EO Form #1 (see Appendix B), is to be submitted to file your complaint. If you choose to present your complaint orally, you will be asked to sign a DLIR-WIOA EO Form #1 and it will be completed for you based on information you provide.
- Each complaint filed shall be signed by the complainant or authorized representative; contain the complainant's name and address (or specify another means of contact); identify the alleged discriminatory official; and describe the complainant's allegations in sufficient detail to allow for the determination of whether the appropriate agency has jurisdiction over the complaint, the complaint was timely filed, and the complaint has apparent merit.
- Each complainant has the right to be represented by an attorney or other individual of the complainant's choice.
- Each complainant has the right to pursue Alternative Dispute Resolution (ADR).
- A complainant may request notification in writing of each of the steps taken in the processing of his or her complaint and of any decision reached at any of these steps. The complainant will be notified ahead of time of any conference to be held regarding the complaint.
- The complainant and all participants in the investigation process are assured freedom from restraint, interference, coercion, or reprisal.
- A complaint may be withdrawn by a complainant under departmental procedures at any time by submitting a Withdrawal/Release of EO Complaint Form, DLIR-WIOA EO Form #2, (see Appendix C).
- A complaint must be filed within 180 days of the alleged discrimination.

CONFIDENTIAL Appendix B

STATE OF HAWAII Department of Labor and Industrial Relations

WORKFORCE EQUAL OPPORTUNITY COMPLAINT FORM (Please Print)

Name:		_SSN: (last four only)
Date Received:		
Street Address:		
	Ap	t.#
City:	State:	Zip Code:
Telephone Home:	Cell:	
Email:		
Basis for alleged discrimir if Employment Related) Employment Related: Ye	·	t One in the Boxes Below and Circle
transgender status) ☐ Age ☐ Political Affiliation/Belie ☐ WIOA Participation	☐ National Origin (including ☐ Sexual Orientation ☐ Genetic information	□ Religion dical conditions, gender identity, or ng limited English proficiency) □ Ancestry □ Disability □ Retaliation
Nature of the alleged disc	rimination (Examples: recru	itment, interviewing, testing,
•	notion, worksite placement, page, work assignment, leave	performance evaluation, of absence, pregnancy, benefit
-	ction, job referral, etc.). <i>Plea</i>	, , ,

Appendix B

Be specific: inc	lude the name(s) of individu	al(s) involved	the data(c)	whon the
discriminatory		d, the remed	y/relief you ar		nat you want to
discriminatory	actions occurre	d, the remed	y/relief you ar		
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	Appe	ndix B
this complaint and on a and belief. I also agree address or telephone no	ny signature below, I certify that all the statements made in ny page(s) attached are true to the best of my knowledge to notify the Equal Opportunity Officer if I change my umber(s). I further understand that I may withdraw my y submitting a Withdrawal/Release of Equal Opportunity EO Form #2.	
Date	Signature	
To be filled out by depart		
	tmental personnel only. Case Number:	
Notes:		
DLIR-WIOA EO Form #	1 Rev 06/2016	CONFIDENTI
Auxiliary aids a	Equal Opportunity Employer/Program nd services are available upon request to individuals with disabilities. TDD/TTY Dial 711 then ask for (808) 586-8866	

CONFIDENTIAL

State of Hawaii

Department of Labor and Industrial F	Relations						
WITHDRAWAL/RELEASI EQUAL OPPORTUNITY COM	_						
Name (Please print)	Complaint number						
Nature of complaint	Date filed						
STATEMENT							
I hereby withdraw my complaint of discrimina	ation. I further release						
and forever discharge the State of Hawaii, Department of Labor and							
Industrial Relations/recipient, and all their past and present officers,							
employees, and agents from any and all claims, demands, actions, causes							
of actions, or suits at law or in equity, known or unknown, concerning the act							
or actions giving rise to or otherwise related to the o	complaint indicated						
above.							
I have read and fully understand the foregoing, and make this							
withdrawal and release voluntarily and of my own fr	ee will, without coercion						
or duress from anyone.							
Signature of complainant	Date						

DLIR-WIOA EO Form #2 (Rev 10/16)

Discrimination Complaint Log

Name of entity

Date of complaint	Name of Complainant	Address of Complainant	Status of Complainant	DOL- funded Program	Date of the Alleged Discriminatory Incident	Grounds/Bases of Complaint	Description/Issue of Complaint	Name of Respondent	Is Respondent a recipient?	Date of Disposition	Disposition	Alternate Dispute Resolution?